

# Stipulation for Consent Order and Consent Order

**Date of Order: 8-17-07**

**Respondents:** Kim R. Shaffer, Wichita, Kansas  
Specialty Antiques, Wichita, Kansas

**Allegations:** Securities Fraud

A Notice of Intent to Invoke Administrative Sanctions was filed on January 8, 2007, alleging securities fraud arising from an antique movie poster program offered by the respondents. Staff alleged the respondents omitted to disclose to an investor that Respondent Shaffer had a previous personal bankruptcy and misrepresented to the investor the true use of the investor's money. Without admitting or denying, respondents entered into a Stipulation for Consent Order with staff, agreeing to a permanent cease and desist order, restitution of \$10,000, and a fine of \$5,000, which is suspended as long as respondents make total monthly payments of \$375 for the next twenty-four months. The Commissioner accepted the Stipulation and entered a Consent Order.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.